

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: John C. Doyle	
Serial No. 09/273,673)	EXPRESS MAIL NO: EL 933130952 US
Filed: March 22, 1999)	DATE: January 9, 2003
For: Computer Apparatus and Method for) Trading and Clearing Futures Contracts to)	
Accommodate a Variable Sensitivity Related) to the General Level of Interest Rates)	
) Examiner: Frantzy Poinvil)	RECEIVED
Group Art Unit: 3628	MAY 1 3 2003
Oroup Aut Offic. 3020	GROUP 3600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sirs:

Pursuant to 37 C.F.R. § 1.56, it is respectfully requested that the following reference(s) be considered in the examination of the above-identified patent application:

6,304,858 10/2001 6,247,000 6/2002 6,112,181 8/2000

The above-identified reference(s) are listed on the attached PTO Form 1449 and copies are also enclosed herewith.

This submission is in no way intended as an admission that the above-cited references constitute prior art under any subsection of 35 U.S.C. § 102. Applicant expressly retains the

right to take any actions necessary to remove the above-cited references from the available prior art.

Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

A check for \$180.00 is enclosed to cover the submission of the information disclosure statement. Please charge any fees due in connection with this statement to the deposit account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Date: January 9, 2003

Respectfully submitted,

Christopher M. George

Christopher N. George Reg. No. 51,728

NO

	INFOR	PATEN MATION	DEPARTMENT T AND TRADE I DISCLOSURE ets if necessary)	MARK	OFFICE		MAY 0 7 2003	SCAT 30HOE			ATTOR NO. 12770U APPLIC Doyle	·	SERIAL NO. 09/273,673
•											March 2	2, 1999	GROUP ART UNIT: 3628
U.S. PATENT DOCUMENTS													
*EXAMINER INITIAL			DOCUMENT NUMBER		DATE		NAME		CL.	ASS	SUBCI	ĈASS	FILING DATE IF APPROPRIATE
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.